

A-Z by State

Name	Company	City	Plan segments served			
			Mega plans (\$500m+ in assets)	Large plans (\$100m to \$500m in assets)	Mid-size plans (\$10m to \$100m in assets)	Small & micro plans (Under \$10m in assets)
ALABAMA						
Brown, Davis	Raymond James	Birmingham			✓	✓
Coker III, H. Ben	BBVA Compass Investment Solutions	Montgomery		✓	✓	✓
				✓		
ARIZONA						
Batt, Paul K.	PKB Retirement Services	Mesa			✓	✓
Schlappi, Scott	WealthPlan Advisors	Scottsdale		✓	✓	✓
ARKANSAS						
Majdan, Kelly	Arvest Asset Mgt	Lowell			✓	✓
CALIFORNIA						
Arbabi, Amir	RBG - Retirement Benefits Gp	Irvine		✓	✓	✓
Ballweg, Chuck	Prosperity Financial Gp	San Ramon				✓
Barry, John	JMB Wealth Mgt /National Planning Corp	Torrance			✓	✓
Blach, Brian Joseph	CBIZ Retirement Plan Services	San Jose			✓	✓
Bratincevic, Richard	Morgan Stanley Wealth Mgt	Pasadena			✓	✓
Breaux, Randy	Breaux Benefits Gp	San Rafael			✓	✓
Burford, Lon E.	Genovese Burford & Brothers	Sacramento		✓	✓	✓
Call, Christopher J.	ABD Retirement Services	San Francisco	✓	✓	✓	✓
Castner, Michael Joseph	Retirement Benefits Gp	Irvine	✓	✓	✓	✓
Clark, John M.	Heffernan Financial Services	San Francisco	✓	✓	✓	✓
Emerson, Kyle A.	Renaissance Wealth Mgt	Victorville				✓
Farrell, Curtis S.	Financial Mgt Network	Mission Viejo			✓	✓
Fourcade, Renee	UBS Financial Services	Los Angeles	✓	✓	✓	✓
Gainer, Patrick G.	Financial Advocates Investment MgtNorCal	Roseville			✓	✓
Gerken, Jr., John H.	Wealth Mgt Advisers	Laguna Hills		✓	✓	✓
Gregory III, Paul	Merrill Lynch Wealth Mgt Ganji / Gregory	Carmel		✓	✓	✓
Hammond, Troy G.	Pensionmark Retirement Gp	Santa Barbara	✓	✓	✓	✓
Handler, Gary	Raymond James	Beverly Hills		✓	✓	✓
Hansen, Isaac A.	Trilogy Financial Services	San Diego				✓
Hansen, Karl E.	Vita Planning Gp	Mountain View			✓	✓
Hastie, Jr., William J.	Hastie Financial Gp	Salinas			✓	✓
Hocking, Steven	UBS Financial Services	Irvine			✓	✓
Johnson, Derek R.	Merrill Lynch Wealth Mgt	San Jose				✓
Josephs, Gary Scott	Retirement Benefits Gp	Irvine	✓	✓	✓	✓
Justi, Jeff	Advanced Retirement Solutions	San Jose			✓	✓
Keefer, Guy W.	Keefer Pension Consulting	Marina del Rey			✓	✓
Ketchum, Daniel C.	Raymond James	Irvine			✓	✓
Kokjohn, Thomas J.	Morgan Stanley Wealth Mgt	San Diego			✓	✓
Mammini, Mike	Mike Mammini Company / Lincoln Investment Planning	San Diego		✓	✓	✓
Merzoian, Matt	Retirement Plan Advisory Services	Fresno			✓	✓

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Messinger, Adam	Merrill Lynch Wealth Mgt	Oakland			✓	✓
Morehead, David T.	Retirement Benefits Gp	San Diego			✓	✓
Muth, Bryan A.	Merrill Lynch Wealth Mgt	Visalia			✓	✓
Pai, Avi K.	Provence Wealth Mgt Gp	Irvine			✓	✓
Pham, Tuyen L.	Tutton Financial Services	Santa Ana		✓	✓	✓
Prattes, Jason A.	Pence Wealth Mgt	Newport Beach				✓
Roland, William C.	Spectrum Wealth Partners	Petaluma			✓	✓
Romero, Daniel S.	Romero and Levin Wealth Mgt	Santa Ana				✓
Schneiderman, James M.	Morgan Stanley Wealth Mgt	Beverly Hills			✓	✓
Shiflet, Walker	Merrill Lynch Wealth Mgt Thoman / Shiflet Gp	Beverly Hills			✓	✓
Spinner, Harlan B.	UBS Financial Services	Los Angeles	✓	✓	✓	✓
Stowell, Race K.	Merrill Lynch Wealth Mgt SR Gp	Mill Valley	✓	✓	✓	
Sutcliff, C. Scott	UBS Financial Services	Irvine			✓	✓
Tappin, Erik K.	Morgan Stanley Wealth Mgt	Carlsbad	✓	✓	✓	✓
Thelen, Kevin M.	Genovese Burford & Brothers	Sacramento		✓	✓	✓
Thibault, Blake A.	Heffernan Retirement Services	San Francisco	✓	✓	✓	✓
Vaughan, Brannan P.	Morgan Stanley Wealth Mgt	Menlo Park			✓	✓
White, Nate	SLW Retirement Plan Advisors	Lafayette		✓	✓	✓
Whyte, Iain A.	Merrill Lynch Wealth Mgt Whyte / Muth Team	Pasadena			✓	✓
Wiese, Brian	Morgan Stanley Wealth Mgt	Los Angeles			✓	✓
Wright, James D.	Merrill Lynch Wealth Mgt BWA Gp	Pasadena	✓	✓	✓	✓
COLORADO						
Deevy, Kristen	CoBiz Financial	Denver		✓	✓	✓
Lambert, Paul	Cherry Street Partners	Denver				✓
Larsen, Chad J.	Moreton Retirement Partners	Denver	✓	✓	✓	✓
Nemmers, Dan	Lockton Investment Advisors - Mountain West	Denver	✓	✓	✓	✓
Watson, Tim	Strategic Financial Partners	Colorado Springs		✓	✓	✓
CONNECTICUT						
Berkman, Brett S.	Merrill Lynch Wealth Mgt The Angelone Gp	Greenwich			✓	✓
Callahan, Michael E.	Edu4retirement	Southington			✓	✓
Decker, John Henry	RBC Wealth Mgt	Glastonbury			✓	✓
Horton, Barnaby W.	Merrill Lynch Wealth Mgt Horton / Aubrey Team	Hartford			✓	✓
Jacobs, John J.	Jacobs Financial Partners	Glastonbury			✓	✓
Kral, Christopher J.	Merrill Lynch Wealth Mgt Kral Brown Gp	Fairfield			✓	✓
Mendoza, Greg F.	UBS Financial Services	Hartford		✓	✓	✓
Michaels, Stephen	Smith Brothers Financial	Glastonbury			✓	✓
DELAWARE						
Pressler, Eric C.	Newton One Advisors	Newark			✓	✓
Shumotic, Thom	MidAtlantic Retirement Planning Specialists	Wilmington			✓	✓

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DISTRICT OF COLUMBIA						
Carter, Murray	Janney Montgomery Scott	Washington	✓	✓	✓	✓
Drew, Charles	Merrill Lynch Wealth Mgt Taylor Drew Gp	Washington			✓	✓
Martin, Patrick	Merrill Lynch Wealth Mgt Siegel & Martin Ass.	Washington			✓	✓
Siegel, Mark A.	Merrill Lynch Wealth Mgt Siegel & Martin Ass.	Washington			✓	✓
FLORIDA						
Alonso III, Manuel	Benefit Strategies and Advisors/MML Investors Services	South Miami			✓	✓
Bastin, Thomas B.	ERISA Fiduciary Advisors	Weston		✓	✓	✓
Casanueva, Dominic J.	Merrill Lynch Wealth Mgt The GBSDC Gp	Sarasota		✓	✓	✓
Chepenik, Jason K.	Chepenik Financial	Winter Park		✓	✓	✓
Clark, Michael	Keiron	Orlando			✓	✓
Conway, Adam K.	Harbor Retirement Planning	Tampa			✓	✓
Deviney, Sean T.	Provenance Wealth Advisors	Fort Lauderdale		✓	✓	✓
Elobt, Keola	West Point Business Gp	Jacksonville			✓	✓
Fraidstern, Steven	Associated Investor Services	Fort Lauderdale			✓	✓
Larsen, Bradley L.	ERISA Fiduciary Advisors	Stuart		✓	✓	✓
Machlin, Roger S.	Northwestern Mutual	Tampa			✓	✓
Magill, Rick	Service Planning Corp.	Fort Lauderdale			✓	✓
Mitsis, John K.	Merrill Lynch Wealth Mgt The Mitsis Gp	Ponte Vedra Beach		✓	✓	✓
Montgomery, W. Michael	Montgomery Retirement Plan Advisors	Tampa		✓	✓	✓
Park, Kendall S.	Merrill Lynch Wealth Mgt	Jacksonville			✓	✓
Rich, Rodney L.	Rodney Rich & Company	Pensacola			✓	✓
Turner, Glen A.	Merrill Lynch Wealth Mgt	Boca Raton			✓	✓
GEORGIA						
Curtis, William C.	Merrill Lynch Wealth Mgt Embleton Curtis & Ass.	Atlanta			✓	✓
Dagley, Jason	Alpha Squared	Alpharetta	✓	✓	✓	
Fiore, Gregory	Clearview Gp	Atlanta	✓	✓	✓	✓
Galvis, Alvaro	Merrill Lynch Wealth Mgt The MG Gp	Alpharetta			✓	✓
Griffin, David T.	Atlanta Retirement Partners	Atlanta			✓	✓
Harbour, Andrew	Graystone Consulting	Atlanta		✓	✓	✓
Kane, Michael M.	Plan Sponsor Consultants	Alpharetta		✓	✓	✓
Laschinger, James A.	Alliant Retirement Services	Alpharetta	✓	✓	✓	✓
Lumpkin, Bobby	Raymond James	LaGrange			✓	✓
Lusink, Brian	Morgan Stanley Wealth Mgt	Atlanta		✓	✓	✓
Meyer, Scott C.	Arista Investment Advisors	Alpharetta			✓	✓
Rainwater, Kevin L.	Atlanta Capital Gp	Atlanta			✓	✓
Rudner, Merv	HomeTown 401k	Atlanta				✓
HAWAII						
Tsukazaki, Peter	Tsukazaki & Ass.	Honolulu			✓	✓
Yasukawa, Karen	UBS Financial Services	Honolulu		✓	✓	✓
ILLINOIS						
Banas, Robert M.	UBS Financial Services	Chicago		✓	✓	✓
Bjork, Sean C.	Bjork Asset Mgt	Northbrook			✓	✓
Bonga, Bart	Rothschild Investment Corp	Chicago		✓	✓	✓
Ciesemier, Michael D.	Morgan Stanley Wealth Mgt	Chicago		✓	✓	✓
Coyner, Paul R.	Morgan Stanley Wealth Mgt	Lisle			✓	✓
Czerniak, James A.	The PrivateBank	Chicago		✓	✓	✓
Dondanville, Dan	Merrill Lynch Wealth Mgt	Springfield		✓	✓	✓
Evans, Ryan F.	Blueprint Wealth Advisors	Chicago			✓	✓
Fiumara, L. Rita	UBS Financial Services	Chicago		✓	✓	✓
Gelb, Jordan M.	Merrill Lynch Wealth Mgt	Northbrook		✓	✓	✓
Gershon, Joel A.	Merrill Lynch Wealth Mgt J & R Gp	Chicago		✓	✓	✓
Hilbrant, Stace	401k Advisors Chicago	Wilmette	✓	✓	✓	✓
Jenkins, Timothy K.	Merrill Lynch Wealth Mgt J & R Gp	Chicago		✓	✓	✓
Kret, Tom	UBS Financial Services	Barrington		✓	✓	✓
Lamps, Brian	Raymond James	Chicago		✓	✓	✓
Mariani, Anthony N.	Infinity Strategic Partners	Northbrook			✓	✓
Mattia, Joseph M.	Midwest Plan Advisors	Naperville				✓
Murray, Paul D.	Morgan Stanley Wealth Mgt	Deerfield			✓	✓
O'Brien, Robert D.	Merrill Lynch Wealth Mgt The OCH Gp	Chicago		✓	✓	✓
O'Shaughnessy, Jim	Sheridan Road Financial	Northbrook	✓	✓	✓	✓
Peluse, Daniel	Wintrust Wealth Mgt	Chicago		✓	✓	✓

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Radler, James R.	Merrill Lynch Wealth Mgt J & R Gp	Chicago			✓	✓
Ratay, Mark	Morgan Stanley Wealth Mgt	Lisle	✓	✓	✓	
Ribich, Michael C.	Merrill Lynch Wealth Mgt J & R Gp	Chicago		✓	✓	✓
Schallmoser, Peter A.	Merrill Lynch Wealth Mgt	Deer Park			✓	✓
Warye, Russell	Benefit Partners Financial Gp	Libertyville				✓
Weiss, Adam R.	TWG Benefits	Des Plaines			✓	✓
Yu, Limei	UBS Financial Services	Chicago		✓	✓	✓
INDIANA						
Baker, Kristi K.	Compensation Systems	Indianapolis			✓	✓
Cate, John C.	Merrill Lynch Wealth Mgt The Cate Burton Gp	Indianapolis	✓	✓		
Davis, Kelli J.	Compensation Systems	Indianapolis				✓
Denny, Andrew C.	Shepherd Financial	Carmel			✓	✓
Lauck, George A.	Neace Lukens	Indianapolis			✓	✓
Ludwig, John M.	LHDretirement	Indianapolis		✓	✓	✓
Matis, Joseph M.	Morgan Stanley Wealth Mgt	Indianapolis		✓	✓	✓
Stolle, Matt	Merrill Lynch Wealth Mgt	Indianapolis			✓	✓
VerSchure, Timothy	Lakeside Wealth Mgt	Chesterton			✓	✓
Winkeljohn, Tony J.	Pensionmark Retirement Gp	Fort Wayne			✓	✓
IOWA						
Brass, Stacie L.	The Accel Gp	Cedar Falls			✓	✓
Kinney, Jr., Henry D.	Sommers & Danforth Financial	West Des Moines			✓	✓
Lohman, Todd M.	401(k) Advisors	Urbandale		✓	✓	✓
Olinger, Lisa	Merrill Lynch Wealth Mgt	Cedar Rapids			✓	✓
Ormord, Brian	Provident Investment Consultants	Peosta			✓	✓
						ww
KANSAS						
Burnich, Benaiah J.	SageView Advisory Gp	Overland Park			✓	✓
Geringer, Gary	Merrill Lynch Wealth Mgt Geringer, Laub and Ass.	Wichita			✓	✓
Morris, Vincent	Bukaty Companies Financial Services	Leawood		✓	✓	✓
KENTUCKY						
Backert, Tony	J.J.B. Hilliard W.L. Lyons	Louisville			✓	✓
LOUISIANA						
Arman, Eric H.	Northwestern Mutual	Baton Rouge			✓	✓
Dupas III, Emmett G.	Northwestern Mutual	Metairie			✓	✓
Ellis, John Cothran	UBS Financial Services	New Orleans		✓	✓	
Harrison, Shawn Patrick	CoSource Financial Gp	Lafayette			✓	✓
MAINE						
Breton, Jennifer L.	LebelHarriman, LLP	Falmouth		✓	✓	✓
MARYLAND						
Avallone, Mark	Potomac Wealth Advisors	Rockville			✓	✓
Bell, Gregory M.	Morgan Stanley Wealth Mgt	Baltimore		✓	✓	✓
Charkatz, Ross Phillip	Graystone Consulting	Potomac	✓	✓	✓	
DiFerdinando, Armando J.	Financial Benefit Services	Kensington			✓	✓
Garber, Barry L.	Deutsche Asset & Wealth Mgt	Baltimore		✓	✓	✓
Gimenez, Ed	Raffa Retirement Services	ROCKVILLE			✓	✓
Hobson, Gregory A.	RBC Wealth Mgt	Hunt Valley			✓	✓
Lizzi, Brian G.	TriBridge Partners	Bethesda			✓	✓
O'Connell, Michael V.	Merrill Lynch Wealth Mgt	Hunt Valley			✓	✓
Prevost, Andrew	The Meltzer Gp Retirement Plan Services	Bethesda		✓	✓	✓
Soltoff, Howard M.	TriBridge Partners	Bethesda			✓	✓
Spray-Fry, Thomas W.	Heritage Financial Consultants, / Lincoln Financial Advisors	Hunt Valley				✓
Trethewey, Robert	SageView Advisory Gp	Fulton	✓	✓	✓	✓
Ward, C. Russell	UBS Financial Services	Hunt Valley		✓	✓	✓
Wilson, Chad J.	Fiduciary Plan Advisors at HighTower	Owings Mills		✓	✓	✓
Young, Suzanne M.	Merrill Lynch Wealth Mgt	Columbia			✓	✓
MASSACHUSETTS						
Adamic, Jason A.	Merrill Lynch Wealth Mgt The Adamic Wolford Gp	Boston		✓	✓	✓
Andonian, Gregg	Baystate Fiduciary Advisors	Boston		✓	✓	✓
Beynon, Charles M.	Ameriprise Financial	Charlestown	✓	✓	✓	✓
Butler, Kevin A.	Morgan Stanley Wealth Mgt	Norwell		✓	✓	✓

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Cawthorne, Jr., Rich J.	Alpha Pension Gp	Lexington								
Ciovacco, Peter J.	Graystone Consulting	Middleton	✓	✓	✓					
Cunha, Stephen M.	Baystate Financial	Wakefield						✓	✓	
Dimitriou, Steven	Mayflower Advisors	Boston		✓	✓			✓	✓	
Galuppo, Sandy	Merrill Lynch Wealth Mgt The PES Team	Boston	✓	✓	✓					
Gibbons, Sean P.	Coastal Capital Gp	Danvers		✓	✓			✓	✓	
Hammond, Alfred	Graystone Consulting	Middleton	✓	✓	✓					
Hoffman, Thomas E.	KAF Financial Advisors	Braintree			✓			✓	✓	
Lewis, Greg	Pinnacle Financial Gp	Southborough		✓	✓			✓	✓	
Lloyd, Kenneth	Mass Mutual Financial Gp	Quincy			✓			✓	✓	
McAvoy, John F.	Waterstone Retirement Services	Canton			✓			✓	✓	
McEwan, Scott R.	Morgan Stanley Wealth Mgt	Boston			✓			✓	✓	
McGarry, Sean C.	Rockland Trust	Hanover			✓			✓	✓	
McLaughlin, Matthew P.	Graystone Consulting	Middleton	✓	✓	✓					
Moriarty, Paul J.	Moriarty Financial Gp	Andover						✓	✓	
Paone, Mark Anthony	UBS Financial Services	Boston			✓			✓	✓	
Roach, Thomas J.	BBS Benefits	Newton			✓			✓	✓	
Sotell, Richard M.	The Kraematon Gp	Wellesley		✓	✓			✓	✓	
Traino, Jason M.	Morgan Stanley Wealth Mgt	Middleton			✓			✓	✓	
Vacheron, Peter D.	IBG Financial Partners	Foxboro		✓	✓			✓	✓	
Vierra, Jr., Roger F.	UBS Financial Services	Rockland			✓			✓	✓	
Weinberg, Hadley Arthur	Weinberg Financial Gp	Chestnut Hill			✓			✓	✓	
MICHIGAN										
Agbay, Anthony D.	The Agbay Gp	Troy		✓	✓			✓	✓	
Banwell, Linton F.	National Planning Corp	Rochester Hills			✓			✓	✓	
Case, Steven	National Planning Corp	Bloomfield Hills						✓	✓	
Cho, Michael H.	Morgan Stanley Wealth Mgt	Farmington Hills		✓	✓			✓	✓	
Ciullo, Scott S.	Plan Sponsor Consultants	Marquette			✓			✓	✓	
Danek, Scott M.	Equanimity Wealth Mgt	East Lansing			✓			✓	✓	
Fitzgerald, Jessica S.	Morgan Stanley Wealth Mgt	Rochester		✓	✓			✓	✓	
Green, Keith D.	Innovative Retirement Solutions	Linden			✓			✓	✓	
Hammond, Kimberly L.	Merrill Lynch Wealth Mgt HC Team	Muskegon		✓	✓			✓	✓	
Howell, Brett	Merrill Lynch Wealth Mgt	Grand Rapids		✓	✓			✓	✓	
Lepore II, Mario A.	UBS Financial Services	Birmingham			✓			✓	✓	
Morabito, Maria	Hantz Financial Services	Southfield			✓			✓	✓	
Spickler, Melissa	Merrill Lynch Wealth Mgt The Spickler Gp	Bloomfield Hills			✓			✓	✓	
MINNESOTA										
Adams, James K.	Adams Consulting Gp	Bloomington			✓			✓	✓	
Ballin, Jessica R.	401(k) Plan Professionals	Edina		✓	✓			✓	✓	
Dahlof, Ross	Christensen Gp	Minnetonka			✓			✓	✓	
Habermann, Michael J.	Associated Financial Gp	Minnetonka			✓			✓	✓	
Jacobs, David M.	Jacobs Financial	St Cloud			✓			✓	✓	
McDonald, Jim	Channel Financial	Minneapolis		✓	✓			✓	✓	
Olson, Sharon M.	Olson Wealth Gp	Bloomington			✓			✓	✓	
Ruotsinoja, Jacob	ICON Financial	Burnsville			✓			✓	✓	
Stiles, Susan M.	Stiles Financial Services	Minneapolis		✓	✓			✓	✓	
Terhorst, Todd C.	Diversified Wealth Mgt	St Louis Park			✓			✓	✓	
Thill, Rick	Financial Resource Mgt Gp	Minnetonka			✓			✓	✓	
Whinnery, Brian	RBC Wealth Mgt	Minneapolis			✓			✓	✓	
MISSISSIPPI										
Griner, Stephen	Raymond James	Jackson			✓			✓	✓	
Pierce, Lee H.	Pierce Financial	Hernando			✓			✓	✓	
MISSOURI										
Jones, Robert T.	R.T. Jones Capital Equities Mgt	St. Louis			✓			✓	✓	
Nicklas, Kenneth N.	Nicklas Financial	Jefferson City			✓			✓	✓	
Voysey, David E.	Merrill Lynch Wealth Mgt Voysey Fitzgerald Gp	Kansas City		✓	✓			✓	✓	
NEBRASKA										
Behlen, Wade	Feltz WealthPLAN	Omaha			✓			✓	✓	
NEVADA										
Casey, Michele Anne	Morgan Stanley Wealth Mgt	Reno		✓	✓			✓	✓	
Segarra, David J.	Latus Gp, Ltd	Las Vegas		✓	✓			✓	✓	

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NEW HAMPSHIRE										
McLaughlin, Ryan L.	CGI Retirement Services	Hooksett						✓	✓	
NEW JERSEY										
Blachman, Glenn A.	Gateway Advisory	Westfield						✓	✓	
Bojovski, Goran	Merrill Lynch Wealth Mgt Gsell / Bojovski Gp	Edison	✓	✓	✓	✓		✓	✓	
Fernandes, Joseph	The 401k Gp	Shrewsbury							✓	
Friedman, Eric H.	Ameriprise Financial	Saddle Brook							✓	
Goerner, Chad	UBS Financial Services	Princeton	✓	✓	✓			✓	✓	
Greenleaf, Jamie D.	Cafaro Greenleaf	Red Bank		✓	✓			✓	✓	
Lisboano, Americo R.	Merrill Lynch Wealth Mgt	Montvale							✓	
Lynch, Jerry F.	JFL Total Wealth Mgt	Boonton						✓	✓	
Marchiano, Michael D.	Morgan Stanley Wealth Mgt	Morristown						✓	✓	
McLane, Michael A.	Redwood Wealth Advisors	Edison						✓	✓	
McLaughlin, Michael A.	McLaughlin Asset Mgt	Haddonfield					✓	✓	✓	
Nydick, Harris S.	CFS Investment Advisory Services	Totowa						✓	✓	
Oliver, Stephen A.	Manhattan Ridge Advisors	Bedminster						✓	✓	
Pearly, Kevin M.	Merrill Lynch Wealth Mgt Hinds / Pearly Gp	Summit						✓	✓	
Rieman, Jr., Davis J.	SagePoint Financial	Mahwah						✓	✓	
Scorzafava, Todd	Greenberg & Rapp Financial Gp	East Hanover						✓	✓	
Toth, Attila T.	Portfolio Evaluations	Warren	✓	✓	✓					
NEW YORK										
Annunziato, Paul	Morgan Stanley Wealth Mgt	Jericho						✓	✓	
Candella, Ted M.	Merrill Lynch Wealth Mgt The Candella Labanca Gp	New York						✓	✓	
Caza, Jeremy Thomas	Sagemark Consulting	Auburn						✓	✓	
Chasin, Gerald L.	UBS Financial Services	Uniondale	✓	✓	✓	✓		✓	✓	
Cunningham, Jr., John Arthur	Alliant Insurance Services	New York	✓	✓	✓	✓		✓	✓	
D'Aiutolo, Paul	UBS Financial Services	Rochester			✓			✓	✓	
Delaney, Barbara J.	StoneStreet Advisor Gp	Pearl River	✓	✓	✓	✓		✓	✓	
Detterick, Jim J.	Graystone Consulting	New York			✓			✓	✓	
Dimino, Steve	RBC Wealth Mgt	New York							✓	
Eidlin, Mark Anthony	Merrill Lynch Wealth Mgt Eidlin-Kilmer & Ass.	Pittsford					✓	✓	✓	
Kass, Jonathan	Merrill Lynch Wealth Mgt The Kass / Freeman Gp	New York	✓	✓	✓			✓	✓	
Kilmer, Matthew A.	Merrill Lynch Wealth Mgt Eidlin-Kilmer & Ass.	Pittsford					✓	✓	✓	
Kuttin, Jonathan S.	Ameriprise Financial	Melville						✓	✓	
Leahy, Dennis M.	Lee, Nolan & Koroghlian Retirement Plan Services	Garden City					✓	✓	✓	
Mahoney, Kevin	Merrill Lynch Wealth Mgt The Mahoney Gp	West Nyack					✓	✓	✓	
Monroe, Matthew C.	Retirement and Benefit Partners	East Syracuse						✓	✓	
Parker III, Douglas E.	Sage Rutty and Company	Rochester						✓	✓	
Schmidt, Brian D.	NFP	Endwell						✓	✓	
Scrivens, Robert J.	Steadfast Risk Advisors	Albany							✓	
Smith, Eric W.	Morgan Stanley Wealth Mgt	Rochester						✓	✓	
Temple, Mark D.	Retirement & Benefit Partners	Slingerlands					✓	✓	✓	
Tomasula, Anthony S.	PPS Pension Services	Williamsville					✓	✓	✓	
Weir, Gary J.	Frenkel Benefits	New York						✓	✓	
Wilshinsky, Andrew R.	Morgan Stanley Wealth Mgt	New York	✓	✓	✓			✓	✓	
NORTH CAROLINA										
Blair, Michael E.	Captrust Financial Advisors	Charlotte			✓		✓	✓	✓	
Floyd, Trea	Baird	Charlotte						✓	✓	
Kelly, Kathleen A.	Compass Financial Partners	Greensboro	✓	✓	✓	✓		✓	✓	
Rauwald, Timothy L.	UBS Financial Services	Chapel Hill	✓	✓	✓	✓		✓	✓	
Schultheiss, Jonathon	Gate City Advisors	Greensboro					✓	✓	✓	
Simons, Noel F.	BB&T Scott & Stringfellow	Charlotte							✓	
OHIO										
Broadbent, Jonathan R.	Plan Partners	Beachwood			✓		✓	✓	✓	
Clark, Jeremy	Beacon Financial Partners	Cleveland						✓	✓	
Duggan, Tony	Merrill Lynch Wealth Mgt	Cincinnati						✓	✓	
Everhart, Scott	Everhart Advisors	Dublin					✓	✓	✓	
Fantozzi, Frank	Planned Financial Services	Cleveland						✓	✓	
Funkhouser, Brian J.	UBS Financial Services	Sylvania						✓	✓	
Hill, Benjamin (BJ)	Blueprint Financial	Cleveland						✓	✓	
Horr, Dennis R.	Faith Financial Advisors	Loveland						✓	✓	
Karban, Russell A.	Savage & Ass.	Toledo						✓	✓	

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			Mega plans (\$500m+ in assets)	Large plans (\$100m to \$500m in assets)	Mid-size plans (\$10m to \$100m in assets)	Small & micro plans (Under \$10m in assets)
Kocsis, David P.	Peak Wealth Solutions	Pepper Pike			✓	✓
Kreineist, Christa J.	Hauser Retirement Solutions	Cincinnati			✓	✓
Kulchar, David M.	Oswald Financial	Cleveland		✓	✓	✓
Lohre II, David M.	Horan	Cincinnati		✓	✓	✓
Lysaght, Tim	Eukles Wealth Mgt	Cincinnati		✓	✓	✓
Mabry, Anthony	Merrill Lynch Wealth Mgt Clark Mabry & Ass.	Columbus		✓	✓	✓
Maloyan, Rick	Stratos Wealth Partners	Beachwood			✓	✓
Reiniger, David J.	Reiniger & Ass.	Westerville			✓	✓
Renie, Stephen J.	Morgan Stanley Wealth Mgt	Cincinnati		✓	✓	✓
Robertson, Thomas G.	Morgan Stanley Wealth Mgt	Columbus		✓	✓	✓
Snyder, Charles	Graystone Consulting	Hudson		✓	✓	✓
Talmage, William H.	Graystone Consulting	Cincinnati	✓	✓	✓	✓
Vordemesche, Christopher	Graystone Consulting	Cincinnati		✓	✓	✓
OKLAHOMA						
Coble, Michelle R.	Odyssey Financial Gp	Oklahoma City			✓	✓
Stith, Daniel C.	Wealth Mgt Gp	Oklahoma City		✓	✓	✓
Suchy, Brent M.	Arvest Asset Mgt	Tulsa			✓	✓
OREGON						
Daley, Erik	Multnomah Gp	Portland	✓	✓	✓	✓
Fisher, Brett A.	Merrill Lynch Wealth Mgt	Portland			✓	✓
Marshall, David P.	Baird	Portland		✓	✓	✓
Ulmer, Joshua	Morgan Stanley Wealth Mgt	Portland		✓	✓	✓
PENNSYLVANIA						
Casciola, Gary M.	TJS Financial Services	Pittsburgh			✓	✓
Catanella, Brian A.	UBS Financial Services	Philadelphia		✓	✓	
Catanella, Kenneth G.	UBS Financial Services	Philadelphia		✓	✓	
DeGroat, Andrew J.	WhartonHill Advisors	Fort Washington		✓	✓	✓
Fogli, Philip J.	FRS Capital Mgt	King of Prussia			✓	✓
Foran, Jr., Gerald F.	GFP Investment Services	Bethlehem			✓	✓
Foster, David T.	Merrill Lynch Wealth Mgt The FWP Gp	Pittsburgh		✓	✓	✓
Gilmartin, Edward J.	Gilmartin Ass.	Scranton			✓	✓
Healey, Sr., Donald M.	The Healey Gp	Wormleysburg			✓	✓
Kline, Alex P.	Duncan Financial Gp	Pittsburgh			✓	✓
Levy, Victor	Levy Wealth Mgt Gp	Philadelphia				✓
Malcolm IV, Robert Roger	BRS Network	Pittsburgh			✓	✓
McDermott, Kevin P.	The Philadelphia Gp	King of Prussia			✓	✓
Pettis, Eric D.	Comprehensive Financial	Harrisburg			✓	✓
Seyle, Rusty	Merrill Lynch Wealth Mgt Seyle / Hamill / Hickey & Ass.	Bethlehem			✓	✓
Sides, Scott A.	RBC Wealth Mgt	York			✓	✓
Wenz, John F.	WhartonHill Advisors	Fort Washington		✓	✓	✓
Wolfe, Noel J.	Morgan Stanley Wealth Mgt	Jenkintown	✓	✓	✓	✓
PUERTO RICO						
Rocafort, Franklin A.	Rocafort Gp	San Juan			✓	✓
RHODE ISLAND						
Sampson, Jim	Cornerstone Retirement Advisors	Warwick			✓	✓
Worrell, James L.	GPS Investment Advisors	Providence	✓	✓	✓	
TENNESSEE						
Cohn, Jason J.	Raymond James	Nashville		✓	✓	✓
Colburn, Todd M.	Northwestern Mutual	Nashville			✓	✓
Glasgow, Steven W.	Avondale Partners	Nashville	✓	✓	✓	✓
Hall, Jeremy D.	Baird	Nashville		✓	✓	✓
Hooper III, A. Hale	Baird	Nashville		✓	✓	✓
TEXAS						
Atherton, Donald C.	UBS Financial Services	The Woodlands		✓	✓	✓
Barton, Lucas M.	Lockton Companies	Dallas	✓	✓	✓	
Birkofer, Joseph	Legacy Asset Mgt	Houston			✓	✓
Coelho, Michael A.	SageView Advisory Gp	Austin		✓	✓	✓
Echols, Van A.	PFG Advisors	Lubbock			✓	✓
Ellisor, Darrell W.	Peak Financial Gp	Houston		✓	✓	✓
Ellsworth, Stephen H.	Baird	Houston		✓		✓

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Fernandez, Robert Gary	Morgan Stanley Wealth Mgt	Austin			✓	✓
Griggs, Dennis D.	Kainos Partners	Jersey Village			✓	✓
Hacker, Christopher S.	Titan Retirement Advisors	Houston			✓	✓
Jerding, Mick	Merrill Lynch Wealth Mgt	Houston		✓	✓	✓
Kading, Todd A.	LeafHouse Financial Advisors	Austin			✓	✓
Lasko, Matt	UBS Financial Services	Dallas			✓	✓
Matusiik, Deborah J.	Pensionmark Retirement Gp - Austin	Austin		✓	✓	✓
Moore, Janine J.	Peak Financial Gp	Houston		✓	✓	✓
Nathani, Salim A.	Ameriprise Financial	Sugar Land				✓
Netoskie, Neil C.	Keystone Retirement Gp	Houston			✓	✓
O'Connor, Teri	O'Connor Financial Services	The Woodlands			✓	✓
Peterson, Jr., Pete	VisionPoint Advisory Gp	Dallas		✓	✓	✓
Rathjen, Robert C.	UBS Financial Services	Houston	✓	✓	✓	
Sinks, Tim	Aspect Wealth Mgt	San Antonio		✓	✓	✓
Smaistrla, Christian D.	The Greensage Gp	Tomball			✓	✓
Stack, Joe	Pensionmark Retirement Gp	Dallas		✓	✓	✓
Thornton, Kenneth A.	Thornton Capital Strategies	Austin			✓	✓
Triolo, James	TRITIS Wealth Mgt	Houston			✓	✓
Vaughan, Robert	UBS Financial Services	The Woodlands		✓	✓	✓
Weaver, Neal M.	Leafhouse Financial Advisors	Austin			✓	✓
Wenzel, Patricia S.	Merrill Lynch Wealth Mgt Heuer / Wenzel Team	Houston	✓	✓	✓	✓
UTAH						
Anderson, Kim D.	Soltis Investment Advisors	St George		✓	✓	✓
Dall, Corby	401k Advisors Intermountain	Sandy		✓	✓	✓
Laurella, Carl L.	Merrill Lynch Wealth Mgt P. L. & R. Gp	Salt Lake City			✓	✓
Moyes, James D.	RedStone Advisors	Lehi			✓	✓
Roundy, Jeff	Merrill Lynch Wealth Mgt P. L. & R. Gp	Salt Lake City			✓	✓
Welch, Kirk W.	Moreton Retirement Partners	Sandy	✓	✓	✓	✓
VERMONT						
Dubie, Christopher D.	Morgan Stanley Wealth Mgt	Burlington			✓	✓
Mandracchia, Mike	The Richards Gp	Brattleboro		✓	✓	✓
VIRGINIA						
Bethel, Lee V.	Comprehensive Benefit Services	Alexandria				✓
Clark, Colin M.	Washington Financial Gp	McLean		✓	✓	✓
DeNoyior, Joseph F.	Washington Financial Gp	McLean		✓	✓	✓
Hoffer, J. Cory	Hermitage Wealth Mgt	Richmond		✓	✓	✓
Hoffman, Lee	Merrill Lynch Wealth Mgt Hoffman Whipkey Gp	Vienna		✓	✓	✓
Maulfair, James E.	AHT Retirement Services	Leesburg		✓	✓	✓
Rogers, Kenneth	Rogers Financial	Harrisonburg		✓	✓	✓
Shiple, Courtenay	Retirement Planology	Alexandria			✓	✓
Stanley, Craig M.	Summit Gp of Virginia	Virginia Beach			✓	✓
Stone, Michael	Business Benefits Gp	Fairfax			✓	✓
Strange, Allan	Janney Montgomery Scott	Richmond		✓	✓	✓
Tattersall, John Edward	Parkway Financial Strategies	Reston				✓
Whitmire, Jerry C.	Morgan Stanley Wealth Mgt	Alexandria			✓	✓
WASHINGTON						
Brown, Michael	Clearpoint Financial	Bellevue		✓	✓	✓
Cashman, Jeffrey	Cashman Consulting	Redmond			✓	✓
McKay, James R.	McKay Wealth Mgt / Retirement Services	Tukwila			✓	✓
Merriman, Scott M.	RBC Wealth Mgt	Seattle	✓	✓	✓	✓
Raphael, Robert B.	TRUEretirement	Bellevue			✓	✓
Roland, Chad A.	Raymond James	Spokane Valley			✓	✓
Sanden, Trent V.	UBS Financial Services	Seattle	✓	✓	✓	✓
Winslow, Edward Ken	Morgan Stanley Wealth Mgt	Silverdale			✓	✓
WISCONSIN						
Barden, Todd J.	UBS Financial Services	Brookfield		✓	✓	✓
Bowles, Jeff D.	Merrill Lynch Wealth Mgt BTB Ass.	Madison			✓	✓
Kieckhefer, Robert A.	The Kieckhefer Gp	Brookfield	✓	✓	✓	✓
Lanser, Bruce G.	UBS Financial Services	Milwaukee		✓	✓	✓
Parks, Thomas W.	Graystone Consulting	Milwaukee	✓	✓	✓	✓
Vandermus, Roger L.	Vandermus, Smith, & Ass.	La Crosse			✓	✓
Wachholz, Rob	Packerland Brokerage Services	Wausau				✓